

# Key Information Document



Sakigake High Alpha - Japan Thematic Growth (the "Sub-Fund")  
A sub fund of SuMi TRUST INVESTMENT FUNDS (LUXEMBOURG) (the "Fund")  
Retail Class USD

## ➤ Purpose

This document provides you with key information about this investment product. It is not marketing material. The information is required by law to help you understand the nature, risks, costs, potential gains and losses of this product and to help you compare it with other products.

## ➤ Product

|                                   |                                                              |
|-----------------------------------|--------------------------------------------------------------|
| <b>Name:</b>                      | Sakigake High Alpha - Japan Thematic Growth Retail Class USD |
| <b>ISIN:</b>                      | LU2380761614                                                 |
| <b>PRIP Manufacturer:</b>         | FundRock Management Company S.A. (the "Management Company")  |
| <b>PRIP Manufacturer Website:</b> | <a href="http://www.fundrock.com">www.fundrock.com</a>       |
| <b>Telephone:</b>                 | +352 263 4561                                                |

The Commission de Surveillance du Secteur Financier is responsible for supervising FundRock Management Company S.A. in relation to this Key Information Document.

This PRIIP is authorised in Luxembourg.

FundRock Management Company S.A. is authorised in Luxembourg and regulated by the Commission de Surveillance du Secteur Financier.

The key information document is accurate as at 19 February 2026.

## ➤ What is this product?

**Type:** This product is a unit of a sub-funds under an umbrella mutual fund (fonds commun de placement à compartiments multiples) qualifying as an undertaking for collective investment in transferable securities (UCITS).

**Term:** This product has no maturity date. However, the Board of Directors may decide to close the product under certain circumstances.

**Objectives:** The Sub-Fund aims to generate excess returns against the designated benchmark TOPIX Total Return Index on a consistent basis, measured in JPY. The Sub-Fund promotes environmental and social characteristics by applying environmental, social and governance (or ESG) criteria to the portfolio, pursuant to Article 8 of Regulation (EU) 2019/2088 on sustainability-related disclosures in the financial services sector (the "SFDR"). The investment objective is pursued through investing at least two thirds of the assets in a high conviction concentrated portfolio of equities or equity related securities which are listed or traded on recognised exchanges in Japan, with a high return potential. Sakigake means pioneer or leader in Japanese. The Investment Manager aims to achieve the investment objective by identifying long-term investment themes and Sakigake stocks which fall into each theme through fundamental research. The Sub-Fund is actively managed. The Sub-Fund is managed in reference to the TOPIX Total Return Index (the "Benchmark") for the purposes of performance measurement, in particular for outperformance and marketing purposes. The Sub-Fund's portfolio may replicate fully or partially from time to time the components of the Benchmark.

**Intended retail investor:** The Fund is intended for retail investors (i) with knowledge and/or experience of these types of products, (ii) that have obtained appropriate investment advice and (iii) that have the ability to bear losses up to the amount they have invested in the Fund. The Fund will not be suitable for retail investors that are unable to sustain such a long-term and illiquid investment.

**Depository:** The Fund's assets are held through its depository, which is Brown Brothers Harriman (Luxembourg) S.C.A.

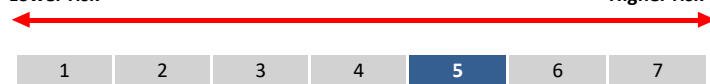
**Distribution type:** Your units will be non-distributing. Income from investments in the Sub-Fund will be re-invested and therefore rolled up into the value of your units.

## ➤ What are the risks and what could I get in return?

### Risk indicator

Lower risk

Higher risk



The risk indicator assumes you keep the product for 5 years.

The summary risk indicator is a guide to the level of risk of this product compared to other products. It shows how likely it is that the product will lose money because of movements in the markets or because we are not able to pay you.

### Performance scenarios

What you will get from this product depends on future market performance. Market developments in the future are uncertain and cannot be accurately predicted.

The unfavourable, moderate, and favourable scenarios shown are illustrations using the worst, average, and best performance of the product and a suitable proxy over the last 10 years. Markets could develop very differently in the future.

The stress scenario shows what you might get back in extreme market circumstances.

The figures shown include all the costs of the product itself, but may not include all the costs that you pay to your advisor or distributor. The figures do not take into account your personal tax situation, which may also affect how much you get back.

| Example investment: USD 10,000     |                                                                                       | If you cash in after 1 year | If you cash in after 5 years |
|------------------------------------|---------------------------------------------------------------------------------------|-----------------------------|------------------------------|
| Minimum                            | There is no minimum guaranteed return. You could lose some or all of your investment. |                             |                              |
| Stress scenario                    | What you might get back after costs                                                   | USD 1,380                   | USD 1,190                    |
|                                    | Average return each year                                                              | -86.18%                     | -34.63%                      |
| Unfavourable scenario <sup>1</sup> | What you might get back after costs                                                   | USD 7,410                   | USD 11,120                   |
|                                    | Average return each year                                                              | -25.91%                     | 2.14%                        |
| Moderate scenario <sup>2</sup>     | What you might get back after costs                                                   | USD 11,130                  | USD 16,300                   |
|                                    | Average return each year                                                              | 11.35%                      | 10.27%                       |
| Favourable scenario <sup>3</sup>   | What you might get back after costs                                                   | USD 14,970                  | USD 21,970                   |
|                                    | Average return each year                                                              | 49.73%                      | 17.05%                       |

<sup>1</sup> This type of scenario occurred for an investment from 12/2017 to 12/2022.

<sup>2</sup> This type of scenario occurred for an investment from 02/2016 to 02/2021.

<sup>3</sup> This type of scenario occurred for an investment from 05/2019 to 05/2024.

## ➤ What happens if FundRock Management Company S.A. is unable to pay out?

The Management Company is responsible for administration and management of the Fund, and does not typically hold assets of the Fund (assets that can be held by a depositary are, in line with applicable regulations, held with a depositary in its custody network). The Management Company, as the PRIIPs manufacturer has no obligation to pay out since the product design does not contemplate any such payment being made. However, investors may suffer a loss if the Fund or the depositary is unable to pay out. There is no compensation or guarantee scheme in place which may offset, all or any, of this loss.

## ➤ What are the costs?

### Costs over time

The person advising on or selling you this product may charge you other costs. If so, this person will provide you with information about these costs and how they affect your investment.

The tables show the amounts that are taken from your investment to cover different types of costs. These amounts depend on how much you invest, how long you hold the product and how well the product does. The amounts shown here are illustrations based on an example investment amount and different possible investment periods.

We have assumed:

- In the first year you would get back the amount that you invested (0% annual return)
- For the other holding periods we have assumed the product performs as shown in the moderate scenario
- USD 10,000 is invested

| Example Investment: USD 10,000 | If you cash in after 1 year | If you cash in after 5 years |
|--------------------------------|-----------------------------|------------------------------|
| Total costs                    | USD 467                     | USD 1,816                    |
| Annual cost impact(*)          | 4.67%                       | 2.35% each year              |

(\*) This illustrates how costs reduce your return each year over the holding period. For example it shows that if you exit at the recommended holding period your average return per year is projected to be 12.62% before costs and 10.27% after costs.

### Composition of costs

The table below shows the impact each year of the different types of costs on the investment return you might get at the end of the recommended holding period and the meaning of the different cost categories.

| The table shows the impact on return per year    |                                                             | If you exit after 1 year                                                                                                                                                                                                      |               |
|--------------------------------------------------|-------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| One-off costs upon entry or exit                 | Entry costs                                                 | Up to 3.00% of the amount you pay in when entering this investment. This is the most you will be charged. The person selling you the product will inform you of the actual charge.                                            | Up to USD 300 |
|                                                  | Exit costs                                                  | We do not charge an exit fee for this product.                                                                                                                                                                                | USD 0         |
| Ongoing costs taken each year                    | Management fees and other administrative or operating costs | 1.60% of the value of your investment per year. This is an estimate based on actual costs over the last year.                                                                                                                 | USD 160       |
|                                                  | Transaction costs                                           | 0.07% of the value of your investment per year. This is an estimate of the costs incurred when we buy and sell the underlying investments for the product. The actual amount will vary depending on how much we buy and sell. | USD 7         |
| Incidental costs taken under specific conditions | Performance fees and carried interest                       | There is no performance fee for this product.                                                                                                                                                                                 | USD 0         |

### ➤ How long should I hold it and can I take my money out early?

**The recommended minimum holding period: 5 years.**

However, you can redeem your investment without penalty at any time during this time, or hold the investment longer. Redemptions are possible on each full bank business day in Luxembourg. In exceptional circumstances, your right to request the redemption of your investment may be limited or suspended.

### ➤ How can I complain?

Complaints about the behaviour of the person who advised you on the product or sold it to you, should be addressed directly to that person. Complaints about the product or the behaviour of the manufacturer of this product should be directed to the following address:

Email: [FRMC\\_qualitycare@fundrock.com](mailto:FRMC_qualitycare@fundrock.com)

Postal address: FundRock Management Company S.A., Airport Center Building, 5, Heienhaff, L-1736 Senningerberg, Luxembourg

Website: <https://www.fundrock.com/policies-and-compliance/complaints-policy/>.

In all cases, the complainant must clearly indicate his/her contact details (name, address, phone number or email address) and provide a brief explanation of the claim.

### ➤ Other relevant information

**Conversion right:** Investors may switch their units in the Sub-Fund for units in another unit class of another sub-fund within the Fund, subject to meeting any relevant eligibility requirements and minimum holding amounts and any other conditions as set out in section "Conversion of Units" of the prospectus.

**Segregation:** Under Luxembourg law, the Fund has segregated liability between its sub-funds (i.e. the Fund assets will not be used to discharge the liabilities of other sub-funds within the umbrella). In addition, the Sub-Fund's assets are held separately from the assets of other sub-funds.

**Additional information:** Copies of the latest prospectus, annual report, semi-annual report (all available in English), and the latest net asset value per unit, are available free of charge at the registered office of the Management Company, FundRock Management Company S.A. in its offices at Airport Center, 5 Heienhaff, L 1736 Senningerberg, Luxembourg and/or on the following website <https://www.sumitrust-am.com/>.

**Past performance and previous performance scenarios:** The cost, performance and risk calculations included in this key information document follow the methodology prescribed by EU rules. Note that the performance scenarios calculated above are derived exclusively from the past performance of the Fund's unit price and that past performance is not a guide to future returns. Therefore, your investment may be at risk and you may not get back the returns illustrated. Investors should not base their investment decisions solely upon the scenarios shown.

Information about past performance and performance scenario calculations is available on <https://www.sumitrust-am.com/>.

Any past performance data presented will be for up to 10 calendar years from the date of the launch of a unit class. No performance data will be presented for a share class that does not yet have performance data for one complete calendar year as there would be insufficient data to provide a useful indication of past performance to retail investors.

**Information for Swiss Investors:** The state of the origin of the fund is Luxembourg. In Switzerland, the representative is Acolin Fund Services AG, Maintower, Thurgauerstrasse 36/38, 8050 Zurich, whilst the paying agent is Banque Cantonale Vaudoise, Place Saint-François 14, 1003 Lausanne. The prospectus, the key information documents, the constitutional document as well as the annual and semi-annual reports may be obtained free of charge from the representative.